



Transport Refrigeration Unit (TRU) Incentive Program

| I. APPLICANT INFORMATION (REQUIRED INFORMATION) | | | | | | | | | | | | | |
|---|-----------------------|-------|---|-----|-------|----------------------------------|-----------------------|--------|----------|------------------------|----------|--------------|----------|
| Applicant Name/Registered Owner (Equipment Owner) | | | | | | | | | | | | | |
| Business Name | | | | | | | | | | | | | |
| Street Address | | | | | | | | | | | | | |
| City | | State | | ZIP | | | | | | | | | |
| Authorized Contact Full Name | | | | | Title | | | | | | | | |
| Phone | | | E-mail Address | | | | | | | | | | |
| Number of Stationary Trailers equipped with TRUs: _____ | | | <i>"Trailer Fleet Size" means the number of trailers owned by the applicant that are not registered as an on-road vehicle and their primary use is cold storage.</i> | | | | | | | | | | |
| Number of TRUs to be replaced: _____ | | | | | | | | | | | | | |
| Important Program Information: <ul style="list-style-type: none">All completed applications will be considered on a first come, first served basis.You may not order or purchase any TRU for the qualifying trailer prior to obtaining approval from the New York City Department of Transportation (NYC DOT) or the TRU Incentive Program Administrator.Completion of this application and submission of all supporting documentation does not guarantee program funding.Rebate amounts are based on the maximum rebate amounts listed in the table below or on the total TRU purchase price, whichever is lower. Sales tax will be calculated using the total purchase price (excluding any incentive deductions) and is payable by applicant. <table border="1"><thead><tr><th>Replacement (New) TRU Technology</th><th>Maximum Rebate Amount</th></tr></thead><tbody><tr><td>Diesel</td><td>\$30,000</td></tr><tr><td>Diesel-Electric Hybrid</td><td>\$37,500</td></tr><tr><td>All-Electric</td><td>\$27,500</td></tr></tbody></table> <ul style="list-style-type: none">Rebate incentives will be distributed to the program approved vendor upon completion of the application process including: 1) installation certification by the vendor; 2) replacement (new) TRU inspection; and 3) qualifying (old) TRU removal and scrappage.Applications submitted with altered language or forms will be voided. | | | | | | Replacement (New) TRU Technology | Maximum Rebate Amount | Diesel | \$30,000 | Diesel-Electric Hybrid | \$37,500 | All-Electric | \$27,500 |
| Replacement (New) TRU Technology | Maximum Rebate Amount | | | | | | | | | | | | |
| Diesel | \$30,000 | | | | | | | | | | | | |
| Diesel-Electric Hybrid | \$37,500 | | | | | | | | | | | | |
| All-Electric | \$27,500 | | | | | | | | | | | | |
| Timeline <ul style="list-style-type: none">Applicants have 30 calendar days from the date of application approval to complete qualifying (old) TRU inspection.Applicants have 30 calendar days from the date of application approval to submit TRU Sales/Purchase Order.Applicants have 90 calendar days from the date of application approval to complete replacement (new) TRU installation and inspection. Extensions may be granted on a case-by-case basis. | | | | | | | | | | | | | |
| Eligibility Criteria for Qualifying Trailer: <ul style="list-style-type: none">Trailer must be stationary and not be registered as an on-road vehicle.Trailer must have clear Title (proof of ownership is required); Proof of ownership to show a minimum of 1 year.Trailer must operate in the Hunts Point Produce Market. | | | | | | | | | | | | | |
| Eligibility Criteria for Qualifying Transport Refrigeration Unit: <ul style="list-style-type: none">TRU must be operational and in service on the qualifying trailer.TRU engine must be previously certified with Tier 0, Tier 1, Tier 2, or Tier-4 interim emission standards.TRU must not exceed 50 horsepower.TRU must be scrapped upon replacement.TRU inspection will be performed by the program administrator to verify eligibility. | | | | | | | | | | | | | |
| Replacement Transport Refrigeration Unit Must: <ul style="list-style-type: none">TRU must be purchased new and operate in the Hunts Point Produce Market for a minimum of 3 years or until the completion of the Hunts Point Produce Market redevelopment, whichever comes first.TRU and trailer must remain stationary at the Hunts Point Produce Market.TRU must be installed on the qualifying trailer or as approved by Program Administrator.TRU engine must meet Tier 4-Final or ultra-low- emission standards.TRU must include proof of manufacturer warranty and TRU installation must be performed by an approved vendor.TRU must not exceed 50 horsepower.TRU inspection will be performed by the Program Administrator to verify compliance. | | | | | | | | | | | | | |

| II. SUPPLEMENTAL INFORMATION - PLEASE ANSWER THE FOLLOWING QUESTIONS: | | |
|---|------------------------------|-----------------------------|
| Do you currently monitor and record TRU usage information, such as hours of operation and fuel consumption? | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Do you currently have electric plug-in capability at your freight facility loading dock? | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Do you currently have electric plug-in capability at your freight facility parking spot? | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Are you installing an electric plug with the TRU replacement? | YES <input type="checkbox"/> | NO <input type="checkbox"/> |



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III. QUALIFYING TRAILER DOCUMENT REQUIREMENTS

The following documents and digital photographs are required for each Qualifying Trailer to complete your application:

- ☐ Photograph of Vehicle Identification Number (VIN)
- ☐ Copy of Qualifying Trailer Title or proof of ownership (Title must be free and clear of all liens)

IV. QUALIFYING TRU DOCUMENT REQUIREMENTS

The following documents and digital photographs are required for each Qualifying TRU to complete your application:

- ☐ Photograph of Engine Emission Control Label (must show model, model year, & horsepower)
- ☐ Photograph of Engine Serial Number
- ☐ Photograph of Unit Label including the Serial Number
- ☐ Photograph of Engine Run Hours

V. QUALIFYING TRU AND STATIONARY TRAILER INFORMATION – USE ATTACHED SPREADSHEET IF APPLYING FOR MULTIPLE TRUs

Trailer VIN:

Trailer Domiciled Address:

| | | | | |
|---|---------------------------|-------------------------|----------|-------|
| Trailer Make: | TRU Engine Manufacturer: | TRU Unit Manufacturer: | | |
| Trailer Model: | TRU Engine Model: | TRU Unit Model: | | |
| Trailer Model Year: | TRU Engine Model Year: | TRU Unit Model Year: | | |
| TRU Engine Family Name: | TRU Engine Serial Number: | TRU Unit Serial Number: | | |
| TRU Engine Run Hours: | TRU Horsepower Rating: | | | |
| | | Diesel | Electric | Total |
| Trailer TRU annual hours of operation | | HRS | HRS | HRS |
| Daily trailer TRU operation hours while parked at freight facility loading dock | | HRS | HRS | HRS |
| Daily trailer TRU operation hours while parked at freight facility parking spot | | HRS | HRS | HRS |

VI. REPLACEMENT EQUIPMENT INFORMATION (IF INFORMATION IS AVAILABLE)

| | | | | |
|---|--|--------|----------|-------|
| Projected Installation/Completion Date: | Estimated TRU Cost (\$): | | | |
| Trailer TRU Engine Manufacturer: | Trailer TRU Unit Manufacturer: | | | |
| Trailer TRU Engine Model: | Trailer TRU Unit Model: | | | |
| Trailer TRU Engine Model Year: | Trailer TRU Unit Model Year: | | | |
| Trailer TRU Horsepower Rating: | TRU Technology (Diesel, Hybrid, All-Electric): | | | |
| | | Diesel | Electric | Total |
| Estimated future annual hours of operation of replacement TRU | | HRS | HRS | HRS |
| Estimated future daily hours of operation while parked at freight facility loading dock | | HRS | HRS | HRS |
| Estimated future daily hours of operation while parked at freight facility parking spot | | HRS | HRS | HRS |

* - Additional information on the TRU replacements will be collected at the time of installation.



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DECLARATION AND SIGNATURE PAGE

I am the owner of the qualifying trailer, have the legal authority to apply for incentive funding for the entity described in this application, and agree to the following statement by signing below:

- I (equipment owner or Principal of the above-named business) have reviewed the information provided in this application, including all supporting documentation, and certify the application information is true and correct, and that I meet the minimum requirements of the New York City Transport Refrigeration Unit (TRU) Incentive Program;
- I certify that the qualifying trailer is stationary and is not registered as an on-road vehicle;
- I understand that the replacement (new) TRU and trailer must remain stationary at the Hunts Point Produce Market.
- I understand that the program-funded TRU shall be placed into operation prior to the applicable operational deadline to remain eligible for funding. Approved applicants have up to 90 calendar days to complete installation of Replacement TRUs. Extensions may be granted on a case-by-case basis.
- To the best of my knowledge, neither the owner of the trailer(s) identified in this application nor the trailers have any outstanding violations or non-compliance with New York City or New York State regulations;
- I understand that incentive funds will only be used to offset the cost of the TRU purchase; applicable taxes are paid by the applicant.
- Any additional non-program funding needed to complete the purchase of equipment for the project according to the proposed timeframe is reasonably available;
- I understand that TRUs may **not** be purchased, received, or installed prior to approval by the TRU Incentive Program Administrator;
- I have all the information needed to understand what must be done to maintain eligibility for program funds. This includes retaining TRU ownership, keeping qualifying trailers in legal operating condition within New York, and maintaining TRUs in good condition;
- I understand that the program-funded TRUs are intended to remain in use on the qualified trailer for a minimum of three (3) years or until the completion of the Hunts Point Produce Market redevelopment;
- I understand that if approved by the TRU Incentive Program Administrator, visual inspections will be performed by a TRU Incentive Program Administrator prior to qualifying TRU removal to verify eligibility and after installation of the new TRU to verify compliance;
- I understand that an incomplete or illegible application, including applications that are missing required documentation, may be rejected by the NYC DOT or the TRU Incentive Program Administrator at their discretion;
- I understand that the program is being implemented at the discretion of the NYC DOT and any and all determinations are final and not subject to appeal;
- I understand that annual reporting is required for TRU Incentive Program funded units for a period of 3 years. Annual reporting may be collected via telematics and/or self-reporting. The information collected will include, but not be limited to: VIN, fuel consumption, hours of operation, TRU serial number, and domiciled address. TRU Incentive Program funded units may also be subject to an on-site inspection by a TRU Incentive Program Administrator. If an on-site inspection is requested, access to the TRU must be granted within a reasonable time;
- Failure to comply with program use requirements will result in actions to recover program incentive funds; and
- I acknowledge that NYC DOT is subject to the Freedom of Information Act (FOIA) and may be required to release the information contained in the program application to third parties if required by State and Federal Public Record laws.

Signature of Authorized Official

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed. I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating these forms and information for program participation, the data and information may be revised by the NYC DOT or the TRU Incentive Program Administrator for accuracy and that the acceptance of the letter of approval will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting participation agreement voidable. Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future New York City incentive awards.

Printed Name of Owner: _____ **Title:** _____

Signature of Owner: _____ **Date:** _____

Submit applications by:

Email: NYCCTP@tetrattech.com

Mail: TRU Incentive Program

c/o Tetra Tech, Inc.
249 E. Ocean Blvd., Suite 325
Long Beach, CA 90802